

Entity Name:
Docket Number:

Prepared by:
Date:

Supervision Plan for Depository Institutions and Affiliates

Data Section	
CFPB Docket #	[Click&type]
Entity Name	[Click&type]
City, State	[Click&type]
Affiliated Entity Name(s)	[Click&type]

Narrative Section
Institution Profile <i>Summarize the current Profile of the lead institution and any affiliate(s).</i>
[Click&type]

Risk Assessment <i>Summarize the results of the current Risk Assessment(s).</i>
[Click&type]

Supervision Plan <i>Based on the Profile and Risk Assessment, summarize the plan for supervising the institution and its affiliates. Identify the purpose of particular activities, and note any that require particularly close coordination with Enforcement or Fair Lending.</i>
[Click&type]
Priorities: <i>Describe the priorities for CFPB supervision activities, which should relate to the risks present in the institution and its affiliates. Clarifying priorities will assist in scheduling examiner resources.</i>
[Click&type]
Monitoring: <i>Describe the plan and timeline for monitoring the institution and affiliates, including any follow-up for Matters Requiring Attention or Enforcement Actions.</i>
[Click&type]

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Examination(s): Describe the proposed focus, scope, and schedule of examination activities during the year (either in a full examination or a series of limited examinations). Note the location of proposed activities. Include information about coordination with the prudential or state regulator(s), including examination schedule(s) if known. If an examination is not scheduled during the current year, note why.

[Click&type]

Examination Resource Requirements: Proposed number of examiners, any special skills or knowledge needed, and projected number of examiner work weeks.

[Click&type]

Additional Notes

[Click&type]